

FORM – 2

(Read with Regulation 10)

Name of the Insurer: SHRIRAM LIFE INSURANCE CO LTD

Registration Number: 128

Statement as on:31-03-2016

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

PART-A

Name of Fund Life Fund

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u> ¹								
	9.24% STERLITE BS 06-12-2022	OLDB	4.98	04-JUN-2015	CRISIL	AA+	AA-	28-JAN-2016	NIL
	9.40% IDBI BANK BS 25-03-2026	ECOS	3.01	31-DEC-2014	CRISIL	AA+	AA	23-FEB-2016	NIL
	9.40% STERLITE DB 25-10-2022	OLDB	5.01	31-DEC-2014	CRISIL	AA+	AA-	28-JAN-2016	NIL
B.	<u>As on Date</u> ²								
	9.10% STERLITE BS 05-04-2023	OLDB	6.92	05-APR-2014	CRISIL	AA+	AA-	07-AUG-2015	NIL
	9.55% HINDALCO IND LTD DB 25-04-2022	OLDB	3.46	25-APR-2012	CRISIL	AA+	AA-	03-AUG-2015	NIL
	9.55% HINDALCO IND LTD DB 27-06-2022	OLDB	30.33	26-JUN-2012	CRISIL	AA+	AA-	03-AUG-2015	NIL
	9.60% HINDALCO IND LTD BS 02-08-2022	OLDB	7.50	02-AUG-2013	CRISIL	AA+	AA-	03-AUG-2015	NIL

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date:30/04/2016

Signature _____

Full Name and Designation : MR.G.VAIDYANATHAN

Chief Finance Officer

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investment (COI) shall be as per INV/GLN/001/2003-04

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(Read with Regulation 10)

Name of the Insurer: SHRIRAM LIFE INSURANCE CO LTD

Registration Number: 128

Statement as on:31-03-2016

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

PART-A

Name of Fund Pension & Annuity Fund

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u> ¹								
B.	<u>As on Date</u> ²								

CERTIFICATION

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Full Name and Designation : MR.G.VAIDYANATHAN

Chief Finance Officer

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Registration Number: 128

Statement as on:31-03-2016

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

PART-A

Name of Fund ULIP Fund

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter ¹</u>								
	9.40% STERLITE DB 25-10-2022	OLDB	4.87	31-DEC-2014	CRISIL	AA+	AA-	28-JAN-2016	NIL
	9.05% BANK OF BARODA BS 27-08-2020	EUPD	5.08	31-DEC-2014	CRISIL	AAA	AA+	28-MAR-2016	NIL
B.	<u>As on Date ²</u>								
	9.55% HINDALCO IND LTD DB 25-04-2022	OLDB	4.98	25-APR-2012	CRISIL	AA+	AA-	03-AUG-2015	NIL
	9.55% HINDALCO IND LTD DB 27-06-2022	OLDB	4.97	27-JUN-2012	CRISIL	AA+	AA-	03-AUG-2015	NIL

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Full Name and Designation : MR.G.VAIDYANATHAN
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